

Interventions: Moving from Preclinical to Phase III Trials: Phases I & II

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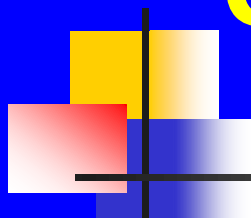
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GEMSSTAR Models and Studies of Aging

Bethesda

September 22, 2016

Consequences of Fundamental Aging Processes



Phenotypes

Geriatric Syndromes:

Sarcopenia
Frailty
Immobility
MCI

Chronic Diseases:

Dementias
Atherosclerosis
Diabetes
Osteoporosis
Osteoarthritis
Renal dysfunction
Blindness
Chronic lung disease

Decreased Resilience:

Infections
Delirium
Delayed wound healing
Slow rehabilitation
Critical Illness Myopathy

Fundamental Aging Mechanisms

Inflammation (chronic, low-grade, sterile)

Cellular Senescence

Macromolecular Dysfunction (DNA, protein aggregation, autophagy, AGE's, lipotoxicity)

Stem Cell and Progenitor Dysfunction



Do We Have Interventions That Work?

Interventions that appear to be effective in mice:

Lifespan and healthspan

Caloric restriction

Exercise

Rapamycin

α -estradiol

ACE inhibitors, ARB's

Metformin

Senolytics: Dasatinib, Quercetin, Navitoclax, others

Healthspan

Flavonoids/Resveratrol/Sirtuin activators

Senescence-associated secretory phenotype (SASP) inhibitors:

Ruxolitinib, Rapamycin, Metformin

Lifespan

Acarbose

NDGA (Nordihydroguaiaretic Acid; median lifespan only)

Protandim

Methionine restriction

Aspirin (median lifespan only), salicylic acid, salsalate (?)

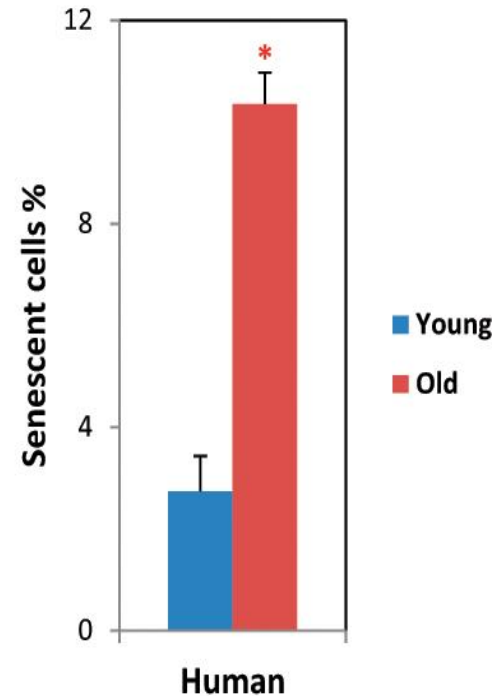
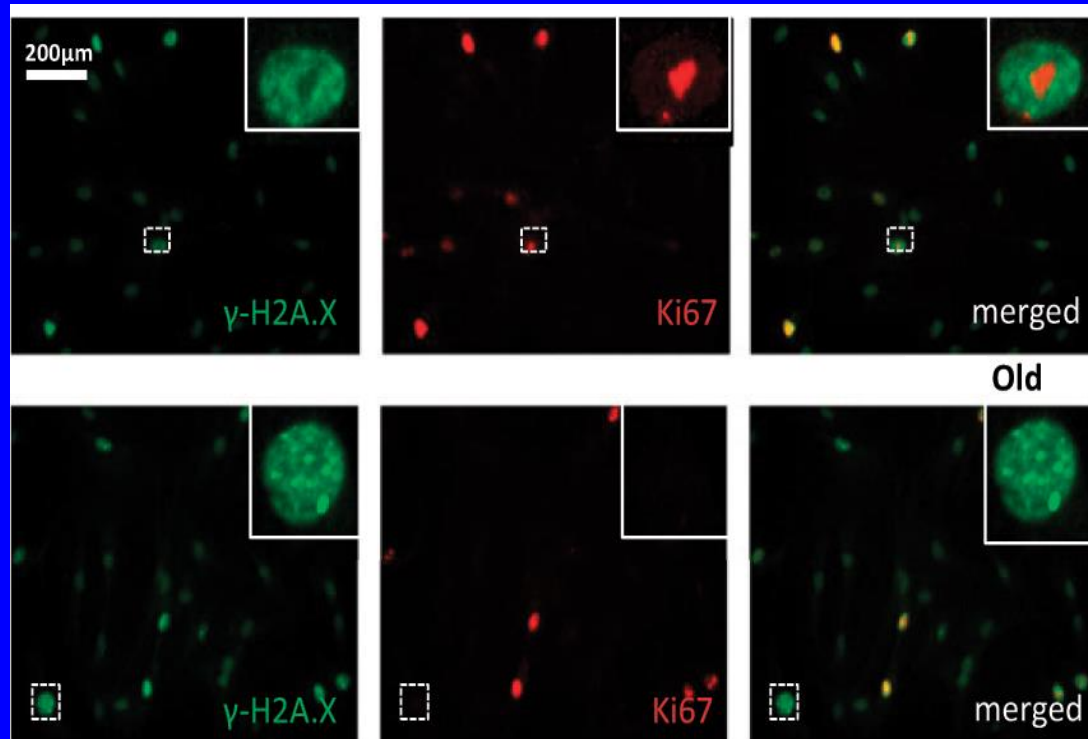
Potential

GDF8, GDF11 (?)

Protein aggregation inhibitors

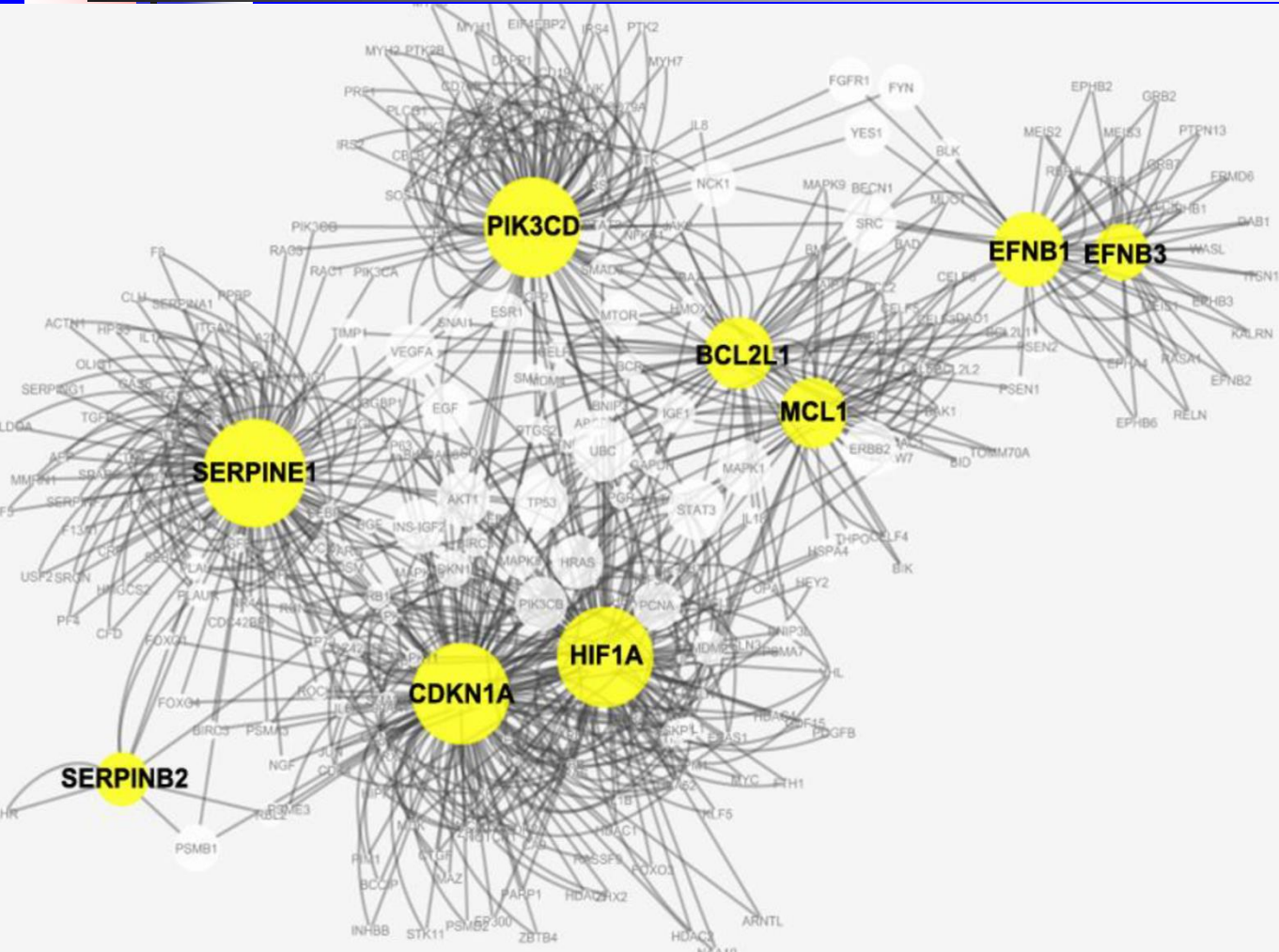
Others (at least 35 potential strategies)

Senescent Preadipocytes Accumulate in Human Adipose Tissue with Aging



4 younger (31 ± 5 y) and 4 older (71 ± 2 y) healthy male volunteers. *P < 0.05

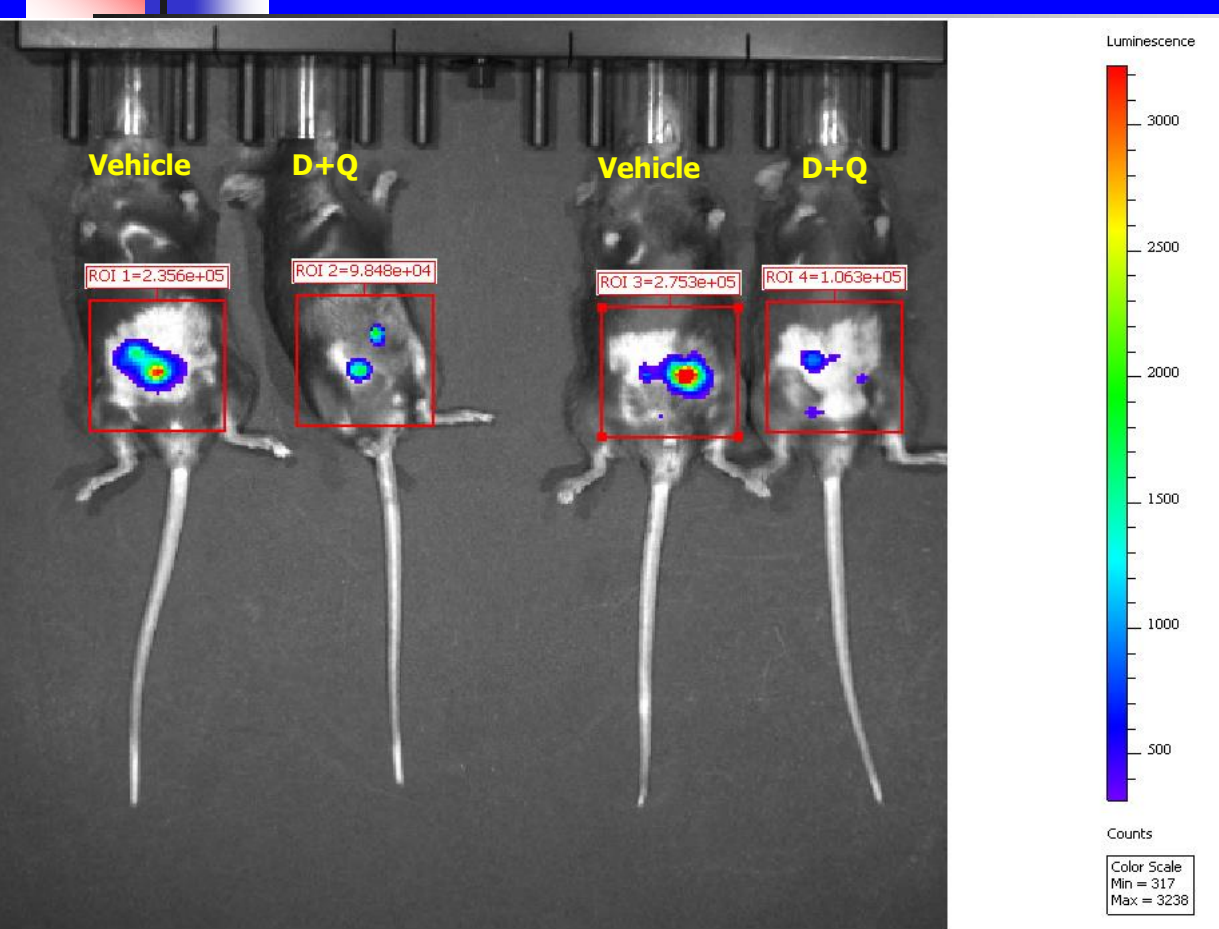
Networks of Anti-Apoptotic Regulators Conferring Resistance to Apoptosis in Senescent Cells



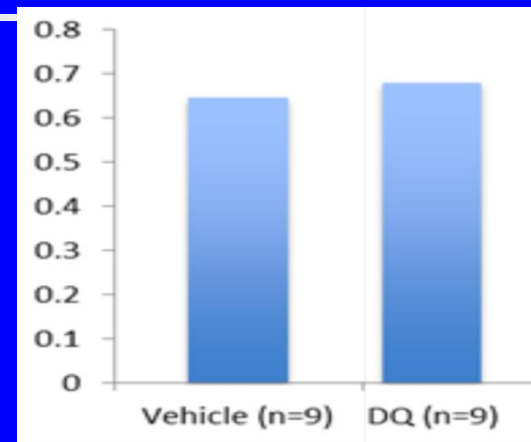
Pathways:
Ephrins/
dependence
receptors, PI3K δ /
Akt/ Metabolic,
Bcl-2 (Bcl-xl, Bcl-2,
Bcl-w), p53/ p21/
serpine (PAI-1&2),
HIF-1 α

**Ageing Cell March,
2015**

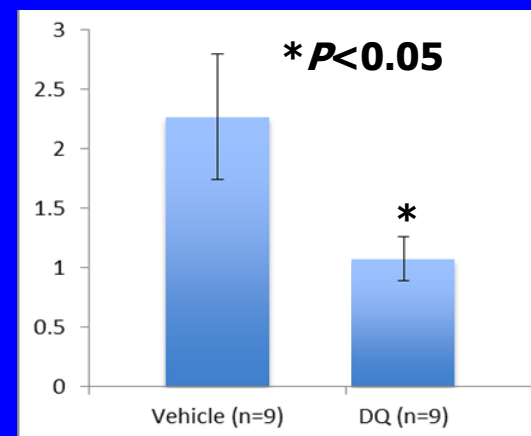
D+Q Clears Transplanted Luciferase-Expressing Senescent Preadipocytes



SFFV Promoter-Luciferase; 10^5 Cells Transplanted/ Mouse

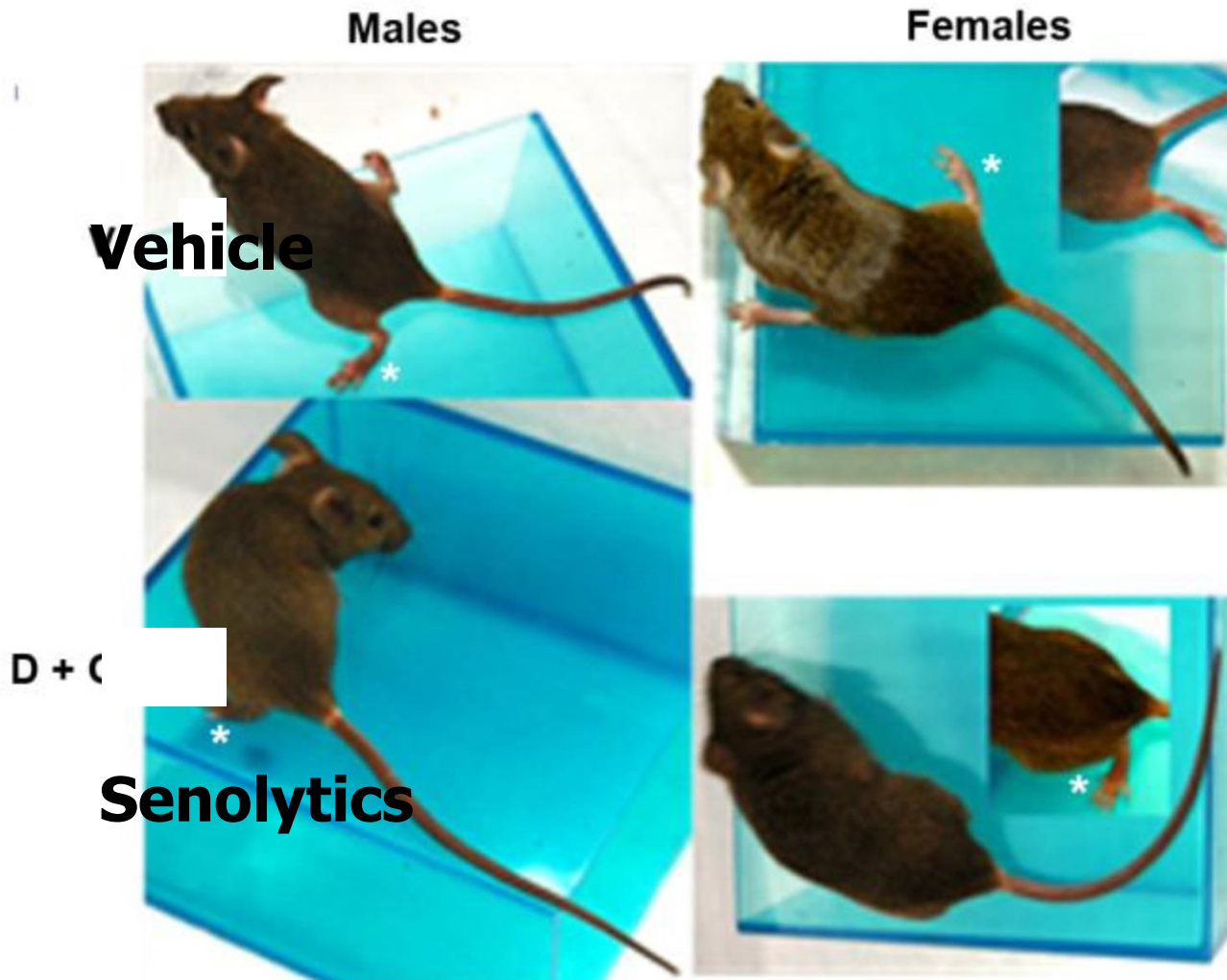


Non-senescent cell-transplanted



Senescent cell-transplanted

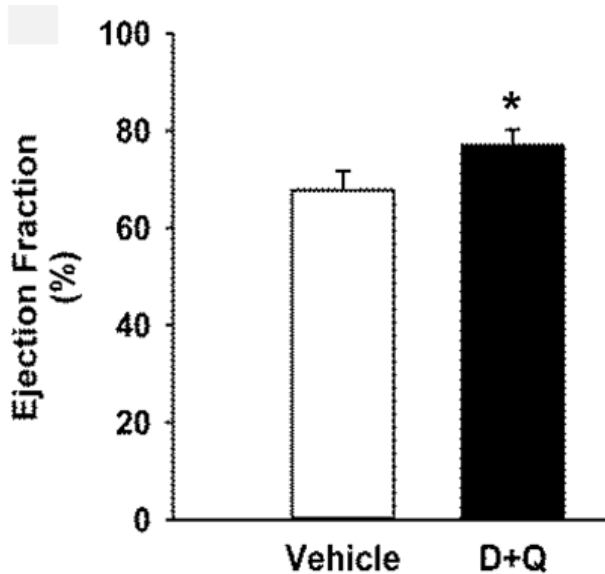
Senolytics Delay Neurologic Dysfunction in Progeroid Mice



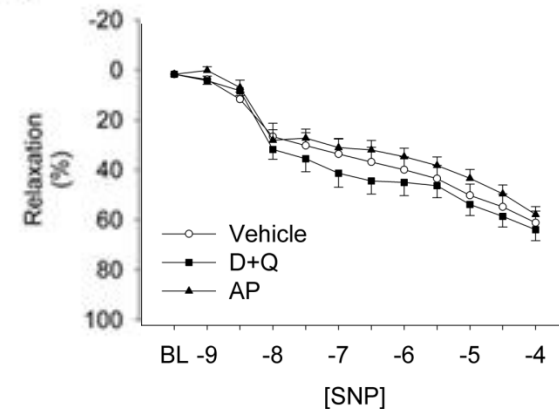
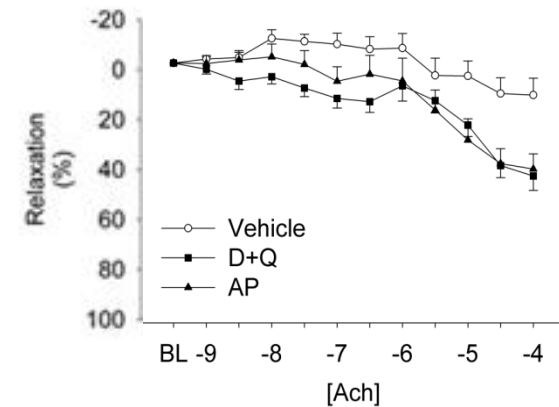
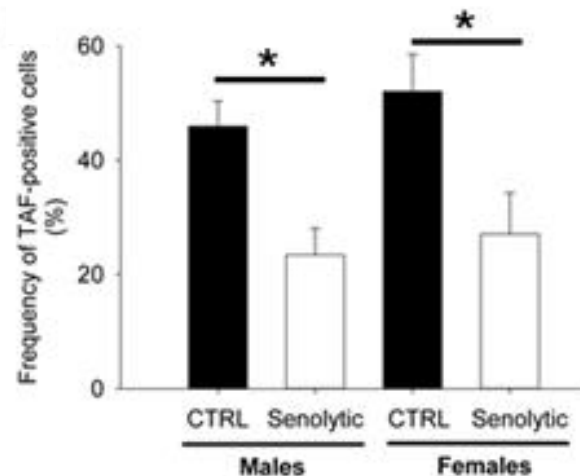
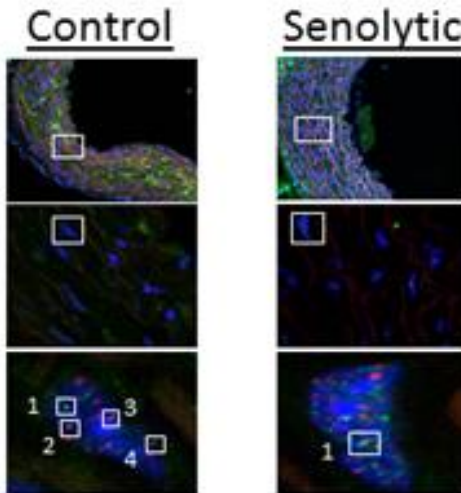
L Niedernhofer

Aging Cell March,
2015

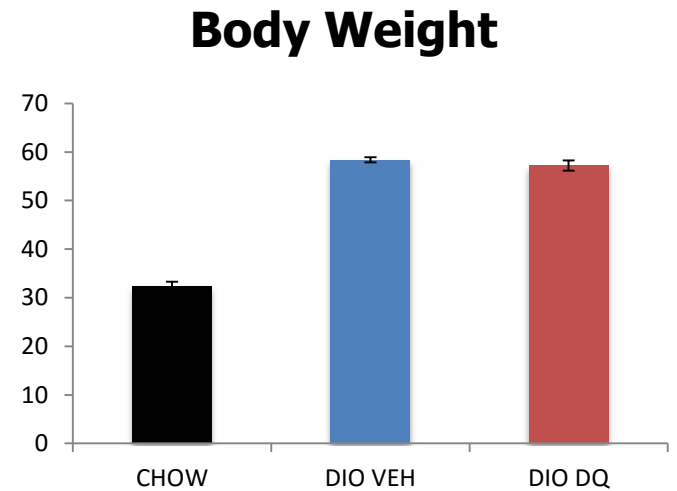
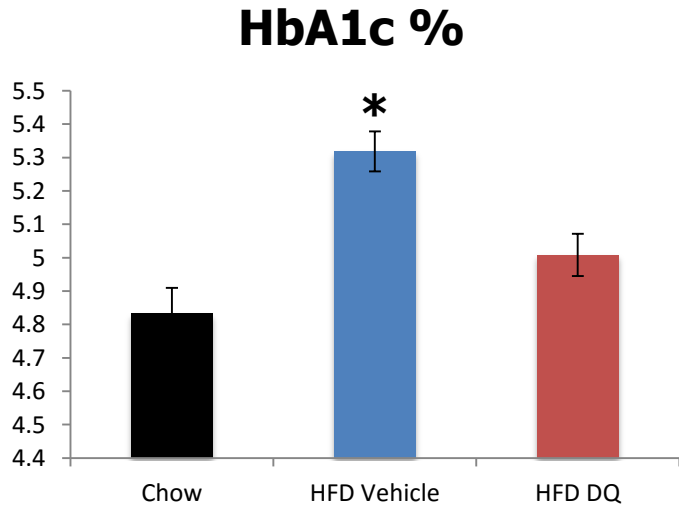
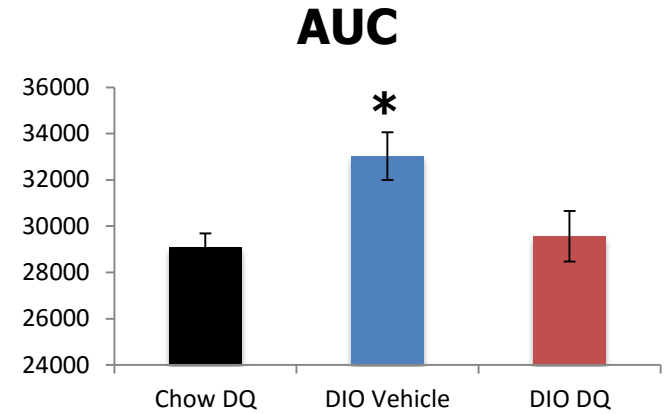
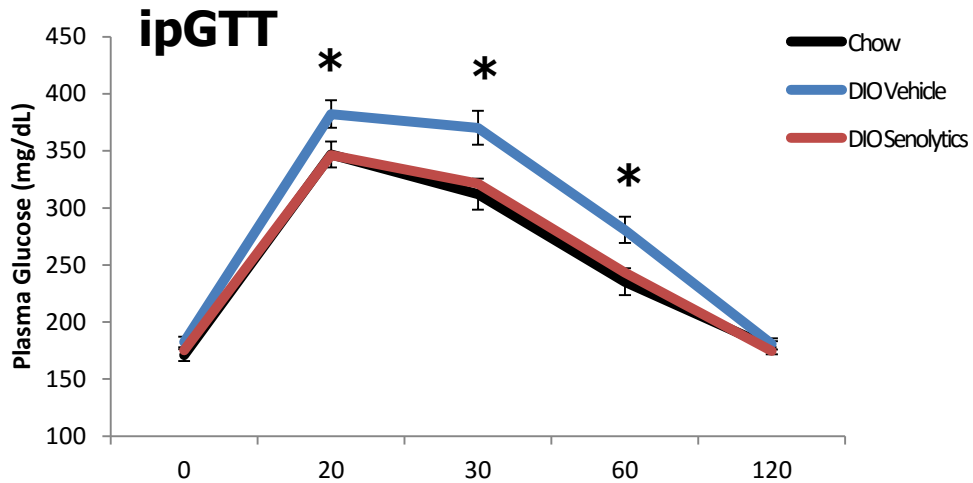
Senolytics Enhance Cardiac and Vascular Function in Old Mice



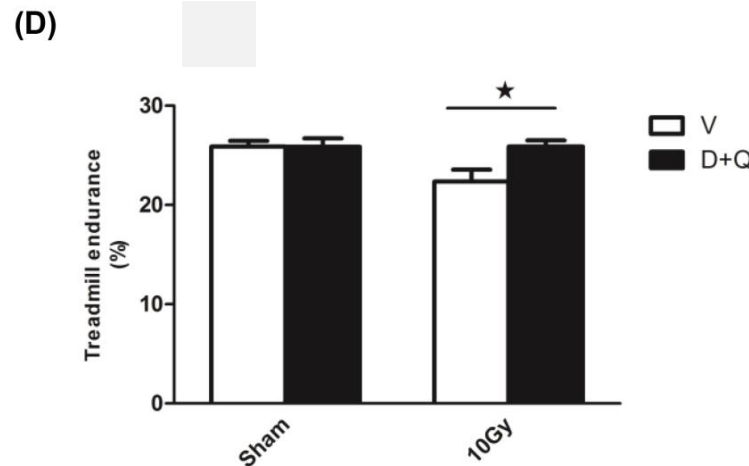
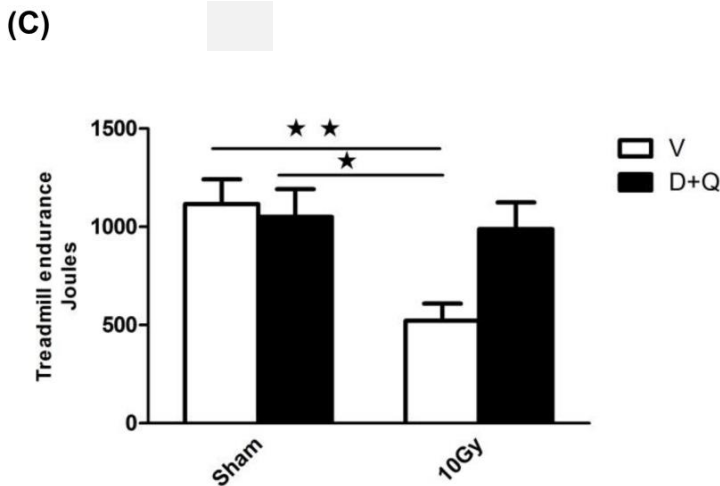
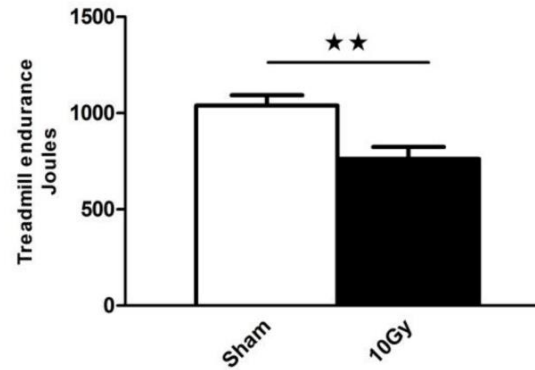
24 month old mice



Senolytics Alleviate Glucose Intolerance in DIO Mice



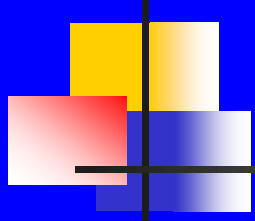
A Single Dose of Senolytics Alleviates Radiation-Induced Gait Disturbance for 7 Months



**N=6-9 mice/
group;
* $P < 0.05$;
** $P < 0.001$**

**Ageing Cell
March, 2015**

Emerging Evidence for Effects of Senescent Cells or Their Removal On:



Diabetes/ Obesity
Age-Related Lipodystrophy
Cardiac Dysfunction
Vascular Hyporeactivity/ Calcification
Aortic Lipid Deposits
Frailty/ Sarcopenia
Response to Chemotherapy
Response to Radiation
Cancer
Sequellae of Bone Marrow Transplantation
Cognition/ Alzheimer's/ Parkinson's/ ALS
Renal Dysfunction
Osteoporosis/ Osteoarthritis
COPD/ Idiopathic Pulmonary Fibrosis/ Tobacco
Primary Biliary Cirrhosis
Progerias
Cataracts/ Macular Degeneration/ Glaucoma
HIV
Prostatic Hypertrophy
Skin Disorders
Stem Cell Activation



Animal Models for Testing Interventions

- **Translationally relevant animal models (for this particular use; not to the exclusion of animal models to discover aging mechanisms)**
- **Old animals, frail animals, old animals with geriatric syndromes**
- **Resilience, including animals exposed to clinically-relevant insults**
- **Age-related chronic disease animal models**
- **Animal models for testing mechanism**
- **Animal models acceptable to the FDA for preclinical registration studies**



Clinical Trials Considerations

- **Measurable, clinically-relevant outcomes appropriate for older populations**
- **Symptomatic or imminently at-risk**
- **Short-term**
- **Compelling clinical need**
- **Benefit that justifies risk**
- **Multiple co-morbidities**



Phases

Phase 0: Pharmacodynamics and pharmacokinetics particularly oral bioavailability and half-life

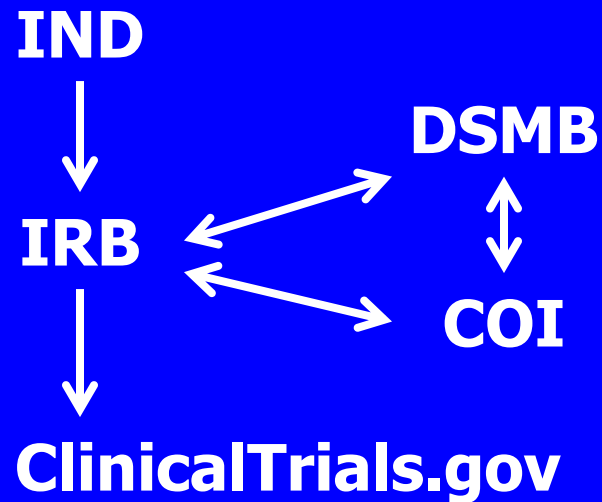
Phase I: Dose-ranging (healthy volunteers)

Phase II: Efficacy and safety

Phase III: Efficacy, effectiveness, and safety

Phase IV: Post-marketing surveillance

Approvals and Oversight





Biomarkers for Studies Targeting Fundamental Aging Processes

Dosing and Pharmacokinetics Biomarkers

Pharmacodynamic Biomarkers

Mechanism Biomarkers

Surrogate Endpoint Biomarkers



Toxicity

- **Need to test in age-appropriate animals based on indication**
- **Reverse antagonistic pleiotropy: Some drugs that are beneficial in older individuals may be harmful in younger individuals (*e.g.*, rapamycin)**
- **Short *vs.* long-term toxicity: duration of toxicity studies depends on indication and is longer for new chemical entities**

Academic vs. Commercial



**Academic: new pathways or paradigms
natural products, repurposed, off-patent or limited
patent life**

**Commercial: lower financial risk or high potential
return, medicinal chemical refinements of effective
candidates that are patentable**



IND

- **Pre-clinical effectiveness data**
- **(Mechanism)**
- **Manufacturing (certificates for all reagents, assays for compound at each step, assays for contaminants, GMP)**
- **Contracts with suppliers**
- **Safety data**
- **Toxicology**
- **ADME**
- **Trial protocol**



FDA Inspection

- **Very likely if a new class, indication, or new chemical entity**
- **Unannounced**
- **Actually inspect labs, equipment, records**
- **Wise to do mock inspections**



IRB

Benefits and Risks
Scientific Justification
Statistical Power
IND
DSMB
Consent
SOP
Insurance
Data Security
Personnel
Conflicts of Interest
Means of Contact
Reimbursement



Phase IA

- **New chemical entities or natural products where dosing and safety data are limited**
- **Currently, dose-ranging testing of drug on healthy volunteers, but need to consider conducting in older subjects with multimorbidity**
- **Often sub-therapeutic, but with ascending doses**
- **A small number of participants, usually three, are entered sequentially at a particular dose, and if safe, progress with another 3 at the next dose**
- **Determines whether drug is safe before checking for efficacy**



Phase IB

- **Pharmacokinetics and pharmacodynamics of multiple doses of the drug, looking at safety and tolerability**
- **Subjects receive multiple low doses, while samples (blood and other fluids) are collected at various times to acquire information on how the drug is processed**
- **We need to consider doing these studies in older populations**
- **Dose is subsequently escalated in further groups, up to a predetermined level**



Food Effect

- **Short trial to investigate differences in absorption caused by eating before the drug is given**
- **Usually a crossover study, with volunteers being given identical doses of the drug while fasted and after fed**



Phase IIA

- **Evaluate whether the drug has any biological activity or effect**
- **Phase IIA is specifically designed to assess dosing requirements**




Phase IIB

- **Phase IIB is specifically designed to study efficacy (how well the drug works at the prescribed dose(s))**
- **Open label or randomized. Randomized Phase II trials have far fewer subjects than Phase III trials**
- **Phase IIA and B are performed in larger groups (100-300)**
- **Some trials combine Phases I and II, and test both efficacy and toxicity**



Efficacy vs. Effectiveness

- **Efficacy:** whether the drug given in the specific manner described in the study is able to influence an outcome of interest (*e.g.*, tumor size) in the chosen population (*e.g.*, cancer patients with no other ongoing diseases)
- **Effectiveness:** whether a treatment will influence the disease. In an effectiveness study, subjects are treated as they would be when the treatment is prescribed in actual practice



Clinical Scenarios for Testing Agents That Target Aging Processes (*e.g.*, Cellular Senescence or the SASP)

Simultaneous Alleviation of Co-Morbidities

3 or more of: diabetes, atherosclerosis, hypertension, MCI, sarcopenia, osteoarthritis, etc.
Delay in 2nd or later co-morbidities (TAME)

“Accelerated Aging” Conditions

Childhood cancer survivors
Bone marrow transplant survivors
Progeroid syndromes
Diabetes due to obesity
HIV (dementia, frailty)

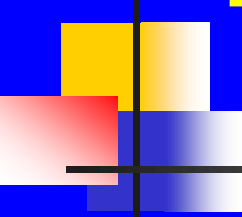
Conditions with Localized Cellular Senescence

Osteoarthritis
Fracture non-union
Atherosclerotic plaques
Radiation
Idiopathic pulmonary fibrosis
COPD/ tobacco
Glaucoma

J. Clin. Invest. 123:966-972, 2013

J. Gerontol. 48:1-5, 2013

Exp. Gerontol. 2014



Clinical Scenarios for Testing Agents That Target Aging Processes (*e.g.*, Cellular Senescence or the SASP)

Otherwise Fatal Conditions

- Idiopathic pulmonary fibrosis
- Primary biliary cirrhosis
- Cancers
- HIV dementia

Resilience/ Clinical Stresses in Pre-frail Subjects

- Chemotherapy
- Radiation
- Elective surgery
- Bone marrow transplantation
- Rehabilitation after MI
- Immunization
- Recovery after pneumonia

Frailty

- Slow gait/ decreased strength/ sarcopenia
- Loss of independence in moderately frail subjects



Conclusions

- **Clinical trials could lead to provision of human tissues across the age range and before and after interventions to basic biology of aging labs**
- **Exciting new agents that target fundamental aging mechanisms alleviate frailty and enhance lifespan and healthspan in mice**
- **These could lead to clinical interventions in humans – if pre-clinical studies demonstrate effectiveness and low toxicity and if we can establish the right clinical study approaches, obtain resources, and prepare personnel**